

First time investors subscribing to the Scheme through SIP-NACH / Auto Debit to complete this form compulsorily along with the Main Application Form. (Please read 'Terms & Conditions for SIP through NACH / Auto Debit' overleaf). The Application Form should be completed in English and in **BLOCK LETTERS** only.

KEY PARTNER / AGENT INFORMATION (Refer General Instruction 1)

| | | | | |
|--|------------------------------------|--|---|----------------------------------|
| ARN & ARN Name | Sub Agent's ARN / Bank Branch Code | Internal Code for Sub-Agent / Employee | Employee Unique Identification Number (EUIIN) | FOR OFFICE USE ONLY (TIME STAMP) |
| ARN-87761 | | | E075033 | |
| EUIIN Declaration (only where EUIIN box is left blank) (Refer General Instruction 1) <input type="checkbox"/> I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. | | | | |
| Sign Here First/ Sole Applicant/ Guardian / PoA Holder / Karta | Sign Here Second Applicant | Sign Here Third Applicant | | |

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer General Instruction 2)

(Please ☒ any one) ☐ I am a first time investor in Mutual Funds ☐ I am an existing investor in Mutual Funds (Default)

In case the purchase/subscription amount is Rs. 10,000 or more and the distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/subscription amount and payable to the Distributor. Transaction Charges in case of investments through SIP/Micro SIP are deductible only if the total commitment of investment (i.e. amount per SIP/Micro SIP installment x No. of installments) amounts to Rs. 10,000/- or more and shall be deducted in 3-4 installments. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the ARN Holder (AMFI registered distributor) based on the issuers' assessment of various factors including the service rendered by the ARN Holder.

(✓) ☐ SIP/Top-Up SIP ☐ Micro SIP ☐ Change in Bank Account (Proceed directly to fill the NACH mandate and provide a cancelled cheque)

| | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|------|--|--|--|--|--|--|--|--|--|--|--|---------------------------|--|---|--|--|--|---------------------------------------|--|--|--|--|--|--|--|
| 1. Investment and SIP Details: First / Sole Investor | | Name | | | | | | | | | | | | | | | | | | | | | | | | | |
| Folio No.(Existing Unitholder) | | | | | | | | | | | | | | KYC Identification Number | | | | | | | | | | | | | |
| PAN / PEKRN^ | | | | | | | | | | | | Enclosed (✓) #KYC Proof <input type="checkbox"/> | | | | Existing UMRN | | | | (if UMRN is registered in the folio) | | | | | | | |
| Aadhaar No. ⁵ | | | | | | | | | | | | OR <input type="checkbox"/> Applied for Aadhaar ⁵ | | | | <input type="checkbox"/> \$\$ Proof attached (Refer instruction no. 16) | | | | \$ Mandatory for resident individuals | | | | | | | |

| New SIP/Upgrade Existing SIP | Scheme/Plan/Option/Sub-option (Mention Cheque details, if attached) | SIP Installment Amount (₹)* | SIP Dates(s) (Refer Instruction 1(a)) | Frequency | Period | Top-Up (Refer instruction 1b) (Optional) | |
|--|--|-----------------------------|--|---|--|--|--|
| | | | | | | Amount (₹) or Percentage (%) | Frequency |
| <input type="checkbox"/> New <input type="checkbox"/> Upgrade | <div>1st Cheque No. <input type="text" value=""/></div> | | | <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly | Start: <input type="text" value="MM/YY"/> End: <input type="text" value="MM/YY"/> or <input type="checkbox"/> Until cancelled* | ₹ <input type="text" value=""/> Or <input type="text" value=""/> % | <input type="checkbox"/> Yearly* <input type="checkbox"/> Half-yearly |
| <input type="checkbox"/> New <input type="checkbox"/> Upgrade | <div>1st Cheque No. <input type="text" value=""/></div> | | | <input type="checkbox"/> Monthly* <input type="checkbox"/> Quarterly | Start: <input type="text" value="MM/YY"/> End: <input type="text" value="MM/YY"/> or <input type="checkbox"/> Until cancelled* | ₹ <input type="text" value=""/> Or <input type="text" value=""/> % | <input type="checkbox"/> Yearly* <input type="checkbox"/> Half-yearly |

* **Default Option** @ First SIP cheque should be same as SIP amount. **Note:** Top-Up SIP facility is available only through NACH debit mandate. For Upgrading fill complete information for active SIP. In case of mismatch, the application might be rejected. For Quarterly SIP, only Yearly/Top-up frequency is available. For Percentage based Top-up only Yearly frequency is available. Percentage based Top-up feature is not available for Mahindra Mutual Fund Kar Bachat Yojana. If Top-Up SIP installment amount exceeds the maximum amount mentioned in the debit mandate, the SIP will continue with the last SIP installment amount.

| | |
|---------------------------|------------------|
| No. of PDC cheque leaves: | Debit Bank Name: |
|---------------------------|------------------|

Mandatory Enclosure (for existing investors if 1st SIP Installment is not by cheque) ☐ Blank cancelled cheque ☐ Copy of cheque

2. Demat Account Details (Optional)

[illegible]

The investors shall receive payments of Redemption/Dividend proceeds in the Bank Account linked to the Demat A/c

Declaration: I/we have read and understood the contents of the Scheme Information Document or Statement of Additional Information and the terms & conditions of SIP enrolment through Auto Debit/NACH and agree to abide by the same. I/we hereby apply for enrolment under the SIP of above mentioned Scheme - Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/we hereby declare that the particulars given above are correct and express my willingness to make payments referred through participation in NACH/Auto Debit. I/we authorise the bank to honour the instructions as mentioned in the application form. I/we also hereby authorise bank to debit charges towards verification of this mandate, if any. I/we agree that the AMC/Mutual Fund (including its affiliates), and any of its officers, directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the Auto Debit instruction of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the user institution of this mandate form responsible. I/we undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/we have not received nor been induced by any rebator or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/their for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/we hereby provide my/our consent in accordance with Aadhaar Act, 2016 and regulations made thereunder, for (i) collecting, storing and using (ii) validating/authenticating and (iii) updating my/our Aadhaar number(s) in accordance with the Aadhaar Act, 2016 (and regulations made thereunder) and PMLA. I/we hereby provide my/our consent for sharing/disclosing of my/our Aadhaar number(s) including demographic information with the asset management companies of SEBI registered mutual fund and their Registrar and Transfer Agent (RTA) for the purpose of updating the same in my/our folios.

^Refer General instruction No 15 in the KIM for PAN/PEKRN. # Please attach KYC proof if not already KYC validated

| | |
|------------------|---|
| Sign Here | First/ Sole Applicant / Guardian / PoA Holder / Karta |
| Sign Here | Second Applicant |
| Sign Here | Third Applicant |

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| | | | | | |
|---|--|--------------|-------------------------------------|--------------|---------|
| UMRN | | Date: | D D | M M | Y Y Y Y |
| Sponsor Bank Code | K K B K O R T G S M I | Utility Code | N A C H 0 0 0 0 0 0 0 0 0 0 3 2 6 2 | | |
| <div style="border: 1px solid black; padding: 2px;"> <input checked="" type="checkbox"/> (Please ✓) <input type="checkbox"/> CREATE <input type="checkbox"/> MODIFY <input type="checkbox"/> CANCEL </div> | I/We hereby authorize Mahindra Mutual Fund to debit (Please ✓) <input type="checkbox"/> SB <input type="checkbox"/> CA <input type="checkbox"/> CC <input type="checkbox"/> SB-NRE <input type="checkbox"/> SB-NRO <input type="checkbox"/> Others | | | | |
| Bank Account Number | | | | IFSC | |
| with Bank | | | | Or MICR | |
| an amount of Rupees | | | | ₹ in Figures | |

Frequency: ☐ Monthly ☐ Quarterly ☐ Half Yearly ☐ Yearly ☒ As & when presented **Debit Type:** ☐ Fixed Amount ☒ Maximum Amount

| | | | | | | | | | | | | | | | | | | | | | |
|-----------|--|--|--|--|--|--|--|--|--|--|--------|--|--|--|--|--|--|--|--|--|--|
| Folio No. | | | | | | | | | | | Phone | | | | | | | | | | |
| PAN | | | | | | | | | | | E-mail | | | | | | | | | | |

2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel /amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

| | | | | | |
|--------|---|-----------|--|----------------------------------|----------------------------------|
| PERIOD | From <u>DD / MM / YYYY</u> | Sign Here | | | |
| | To <u>DD / MM / YYYY</u> | | Signature of Primary Bank Account Holder | Signature of Bank Account Holder | Signature of Bank Account Holder |
| | Or <input type="checkbox"/> Until Cancelled | Name | (1) As in bank records | (2) As in bank records | (3) As in bank records |

Terms & Conditions for SIP/ Top-Up SIP

1 (a). SIP facility is offered by the Scheme subject to following terms and conditions:

| Particulars | Frequency available (Default Frequency: Monthly) | |
|--|---|---|
| | Monthly | Quarterly |
| SIP Transaction Dates (Default Date: 10th) | Any date* For SIPs being registered through Post Dated Cheque ('PDC') mode, available SIP dates will be 1st, 5th, 10th, 15th, 20th or 25th of the month under the monthly/quarterly frequency. | |
| Minimum no. of installments and Minimum amount per installment | For schemes other than MMFKBY - 6 installments of Rs. 500/- each and in multiples of Re 1 thereafter; For MMFKBY - 6 installments of Rs. 500/- each and in multiples of Rs. 500/- thereafter. | For schemes other than MMFKBY - 4 installments of Rs. 1500/- each and in multiples of Re 1 thereafter; For MMFKBY - 4 installments of Rs. 1500/- each and in multiples of Rs. 500/- thereafter. |
| Mode of Payment | a. Direct Debit mandate through select banks with whom AMC may have an arrangement, from time to time. b. PDC. c. National Automated Clearing House (NACH) Facility | |

* If any SIP installment due date falls on a non-Business day, then the respective transactions will be processed on the next Business day. However, in case the chosen date is not available in a particular month, the SIP will be processed on the last day of that month. E.g., if selected date is 31st, SIP installment for the month of September will be processed on 30th September. However, if 30th September happens to be a Non-Business Day, the SIP will be processed on the immediate next Business Day. **Note:** Anyone or more SIP transaction dates from the available dates can be selected by the Unit Holders under the Monthly and Quarterly frequencies. SIP amount (including Top Up) will be restricted to amount mentioned in Direct Debit / NACH Mandate Form.

MMFKBY: Mahindra Mutual Fund Kar Bachat Yojana.

1 (b). Top-Up SIP Specifications

| Particulars | Minimum Top-up installment | | Top Up Frequency | |
|-----------------------------|---|---|------------------------|-------------------|
| | For schemes other than MMFKBY | For MMFKBY | For Monthly SIP | For Quarterly SIP |
| Top up by Amount | Rs. 100 and in multiples of Rs 100 thereafter | Rs. 500 and in multiples of Rs 500 thereafter | ● Yearly ● Half Yearly | ● Yearly |
| Top up by Percentage | 10% and in multiples of 1% thereafter. Rounded off to nearest next multiple of Rs. 10. | Not available | ● Yearly | |

Note: Existing investors will be required to submit a request for upgrade from SIP to Top-up SIP at least 10 calendar days prior to the next SIP date. In case of Monthly SIP, if the investor has chosen half-yearly Top-Up frequency, the SIP amount will increase after every 6 SIP monthly cycle dates by the amount indicated and if the investor has chosen Yearly Top-Up frequency, the SIP amount will increase after every 12 SIP monthly cycle dates by the amount/percentage indicated. For Quarterly SIPs, only Yearly frequency is available for Top-up and the SIP amount will increase after every 4 SIP quarterly cycle dates by the indicated amount / percentage. If the Investor does not specify either the Top-up amount/percentage or specifies both, the SIP application will be processed by default with the Minimum Top-up installment amount as per above table. The Top-up details cannot be modified once enrolled. In order to make any changes, the Investor must cancel the existing SIP and enroll for a fresh SIP with revised Top up details.

Default Mode - Top-up by amount. Default Top-up frequency - Yearly.

- New Investors should mandatorily give a cheque for the first transaction.
- First SIP Cheque should be dated current day. All subsequent Installments through NACH / Auto Debit to be as per the date(s) selected.
- First SIP Cheque and subsequent SIP Installments should be of the same amount.
- If the SIP period is not specified by the investor then the SIP enrollment will be deemed to be for perpetuity and processed accordingly.
- The first installment will be processed at Applicable NAV based on time stamping. In case of SIP for an amount of Rs. 2 lakh and above, the Applicable NAV of the Scheme will be based on funds available for utilizations, as stated in KIMs/SIDs. There should be a gap of at least 30 calendar days between the first SIP installment and the second SIP installment / SIP Auto Debit "Start" date mentioned in the debit mandate form. The SIP Auto Debit shall start from the selected "Start" date mentioned by the investor, subject to completion of successful SIP Auto Debit mandate verification and registration formalities at least 10 calendar days prior to the "Start" date specified in the debit mandate form.
- In case of MMFKBY, each SIP installment will be subject to a Lock In period of three years from the date of allotment of Units.
- In case any cheque submitted by the investor for any SIP installment is dishonoured by the Bankers for the reason of account of investor is closed, the AMC would discontinue the SIP immediately.
- The SIP enrollment will be discontinued if:
 - 3 consecutive SIP installments in case of Monthly & Quarterly frequency are not honoured.
 - the Bank Account (for Standing Instruction) is closed and request for change in bank account (for Standing Instruction) is not submitted at least 30 calendar days before the next SIP Auto Debit installment due date.
- SIP registered for more than one date or all dates of the month under the Monthly / Quarterly frequency, will be considered as separate SIP instruction for the purpose of fulfilling the "Minimum no. of installments" criteria.
- SIP in a folio of a minor will be registered only upto the date of minor attaining the majority even though the instruction may be for the period beyond that date.
- The Load structure prevailing at the time of submission of the SIP application (whether fresh or extension) will apply for all the Installments indicated in such application.
- The SIP mandate may be discontinued by a Unit holder by giving a written notice of 30 calendar days to any of the Official Point(s) of Acceptance.
- The facility will be automatically terminated upon receipt of intimation of death of the Unit holder.
- The investors can also subscribe Units through SIP in Demat (electronic) mode for the Scheme. However the Units will be allotted based on applicable NAV of the Scheme and will be credited to investor's Demat (Beneficiary) Account on weekly basis on realization of funds, e.g. Units will be credited to investor's Demat (Beneficiary) account every Monday (or next business day, if Monday is a non-business day) for realization status received in last week from Monday to Friday.
- Investors will not hold Mahindra Mutual Fund / Mahindra Asset Management Company Private Limited, its Registrar and other service providers responsible, if the transaction is delayed or not affected or the investor's bank account is debited in advance or after the specific SIP date.
- The first cheque should be drawn on the same bank account which is to be registered for Debit. Alternatively, the cheque may be drawn on any bank, but provide a photocopy of the cheque of the bank / branch for which Debit is registered.
- Instructions related to Aadhaar:** The purpose of collection/usage of Aadhaar number including demographic information is to comply with applicable laws/rules/regulations and provision of the said data is mandatory as per applicable laws/rules/regulations. Post obtaining the Aadhaar number, AMC shall authenticate the same in accordance with the Aadhaar Act, 2016. AMC shall receive your demographic information which shall be used only to comply with applicable laws/rules/regulations. Investors can submit either copy of Aadhaar card or Letter issued by UIDAI containing proof of 'Applied for Aadhaar enrolment', as proof of Aadhaar. In case the investor has submitted the proof of enrolment for Aadhaar, the Aadhaar number shall be required to be provided for eventual authentication within 6 months from the date of account opening, failing which the account / folio shall cease to be operational. The Aadhaar number needs to be updated for all account holders, including that of joint holders, Guardian (in case of minor) and POA. In case of non-individual investors, the Aadhaar number should be updated for all the signatories by using Form for Aadhaar Details (Non-Individuals) as per their list of signatories from time to time. If the Name given in the application does not match the name as appearing on the PAN Card/Aadhaar card, authentication, application may be liable to get rejected or further transactions may be liable to get rejected.
- Mahindra Mutual Fund / Mahindra Asset Management Company Private Limited, its Registrar and other service providers shall not be responsible and liable for any damages/ compensation for any loss, damage etc., incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.

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Terms & Conditions - NACH / Auto Debit

- NACH debit facility is offered at various banks. For a detailed list of banks please refer the website www.npci.org.in.
- This facility is offered only to the investors having bank accounts with above mentioned Banks. Above list is subject to modification/update at any time in future at the sole discretion of Mahindra Asset Management Company Private Limited, without assigning any reason or prior notice. If any bank is removed, SIP instructions of investors for such banks via NACH will be discontinued without any prior notice.
- By signing the NACH mandate form the investor agrees to abide by the terms and conditions of NACH facility through NPCI (www.npci.org.in).
- New/Existing investors who wish to enroll for SIP through NACH should fill the SIP Application Form and the Registration cum Mandate Form for NACH.
 - The Registration cum Mandate Form for NACH should be submitted at least 30 calendar days prior to next SIP cycle date.
 - Unique Mandate Registration Number (UMRN) is auto generated by NPCI during the mandate creation for the first time. Investors, who do not have the UMRN, please leave it blank. UMRN would be linked to the folio and maximum length is 20 characters comprising of Alpha Numeric Character allotted by NPCI.
 - Investors who already have UMRN registered under the folio can fill up the SIP Registration cum Mandate Form for NACH and should be submitted at least 10 working days before the date of the first debit through NACH.
- Please provide the cancelled cheque leaf of the Bank A/c no. for which NACH facility is registered.
- Investors need to mandatorily fill the SIP Registration Cum Mandate form for NACH for any amendment and cancellation quoting their UMRN by giving 30 calendar days prior notice to any of the investor service centre.
- Investor can choose to discontinue the SIP facility under folio without cancelling the UMRN by giving 30 calendar days prior notice to any of the Investor Service Centre.
- IFSC is a 11 digit number given by some of the banks on the cheques. MICR is the 9-digit code that appears on your cheque next to the cheque number.
- In case of existing investor, if application is received without existing UMRN then the last UMRN registered in the folio would be considered.

Terms & Conditions - Auto Debit

Auto Debit: The Auto Debit Facility will be made available only with the banks with which Mahindra AMC or its service provider may have tie up for Auto Debit from time to time. Please contact Mahindra Mutual Fund Investor Service Centre for updated list of banks/ branches eligible for Auto Debit Facility.