

DSP BlackRock
MUTUAL FUND

Website : www.dspblackrock.com
E-mail : service@dspblackrock.com
Contact Centre: 1-800-200-4499

ACKNOWLEDGEMENT SLIP

Acknowledgement is subject to verification. Request may not be processed in case of incomplete / ambiguous / improper / incorrect details in Transaction Form.

Investor Name

Folio Number

Scheme

DSP BlackRock

Plan / Option / Sub Option

☐ Additional Purchase or ☐ SIP (PDC)

Total Amount (Rs.)

Total Cheques

Cheques No.(s)

From

To

☐ Redemption or ☐ Switch

Amount (Rs.)

OR Units

☐ PAN and KYC Updation

☐ PoA Registration

☐ STP or ☐ SWP or ☐ DTP

ISC Stamp & Signature

FINANCIAL
TRANSACTIONS

DSP BlackRock
MUTUAL FUND

COMMON TRANSACTION FORM

(For Existing Investors only)

FINANCIAL
TRANSACTIONS

Please fill only in the space provided. Any additional details/notes/instructions or those provided at a non designated area of the form may not be executed. Kindly strike off the unused Sections of the Form to avoid misuse. Please use separate Transaction Form for each Transaction and for each Scheme / Plan and kindly refer instructions overleaf.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Distributor Name and ARN

Sub Broker Code

Branch / RM Code

ARN-87761

E075033

Name of Sole / First Unitholder (Leave space between first / middle / last name) ☐ Mr. ☐ Ms. ☐ M/s. ☐ Others

Existing Folio Number

Scheme Name / Plan* / Option* / Sub Option*

DSP BlackRock

ADDITIONAL PURCHASE (Cheque/DD to be drawn in favour of 'Name of the Scheme')

Payment Mode: ☐ Cheque ☐ DD ☐ RTGS ☐ NEFT ☐ Funds transfer
(Refer instructions overleaf on Third Party payments)

Amount in Words

Amount in Figures

Cheque / DD / RTGS / NEFT No.

Rs.

Payment from Bank A/c No.

Cheque / Payment Date

Pay In A/c No. (Mandatory)

Cheque / DD Drawn on / Payment from Bank & Branch

Documents Attached to avoid Third Party Payment Rejection, where applicable :

☐ Bank Certificate, for DD ☐ Third Party Declaration

REDEMPTION (Write Scheme Name, Plan / Option / Sub Option on top)

Amount in Figures

Amount in Words

Rs.

OR (Please note that the Redemption can be done either in Units or in Amount and not in both)

Units in Figures

Units in Words

Bank Account for This Redemption Proceeds (This should NOT be construed as "Change of Bank Mandate" request. Refer Instructions overleaf.)

(We agree that the redemption proceeds should be sent entirely at our risk to the following bank account, if already registered with the fund or to the default bank account if no bank account is mentioned here.

Bank Name

Account No.

Important Note: Unregistered bank account will not be considered, even if mentioned here. To change bank account, investors should avail multiple bank account registration facility and use a specific designated form for this purpose. If unit holder(s) provide a new and unregistered bank mandate with the redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds and will not be registered.

SWITCH (Write switch-out Scheme Name, Plan / Option / Sub Option on top)

Amount in Figures

Amount in Words

Rs.

OR (Please note that the Switch can be done either in Units or in Amount and not in both)

Units in Figures

Units in Words

Switch-in To Scheme / Plan / Option* / Sub Option*

DSP BlackRock

PAN AND KYC UPDATION

Sole / First Applicant /

Guardian

Second Applicant /

Guardian

Third Applicant /

Guardian

KYC LETTER

☐ Attached

☐ Attached

☐ Attached

PoA (Power of Attorney) REGISTRATION DETAILS (Refer Instructions overleaf)

Name of the

PoA holder

PAN of the

PoA holder

Attached ☐ KYC Letter (Mandatory)

☐ Notarized copy of PoA

DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions overleaf and Addenda issued from time to time of the respective Schemes, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. **Applicable to NRIs only :** I/We confirm that I am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

Sole / First Holder

Second Holder

Third Holder

(To be signed as per Mode of Holding)

* Default Option may be applied in case of no information, ambiguity or discrepancy.

FINANCIAL TRANSACTIONS

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

INSTRUCTIONS

the designated space provided in the form.

■ **ADDITIONAL PURCHASE & PAYMENT DETAILS:** Investors should fill name of the scheme, plan, option and sub-option. In case the details are not proper and clear or in case of incomplete details, non-clarity or ambiguity, default options will be considered and applied. The cheque or demand draft should be drawn in favour of **'Scheme Name'**, as the case may be, and should be crossed **'Account Payee Only'**. Separate cheques and form should be given for each separate investment in a different scheme, plan or option.

(Please mention complete Scheme, Plan & Option)

DECLARATION & SIGNATURES

Plan / Option* / Sub Option*

Investments done in schemes through STP will be treated as investments through SIP and the load structure for SIP will be applicable.

Frequency ☐ Monthly* ☐ Quarterly * Default Option

Withdrawal Period
(Period to cover - minimum
6 SWP transactions)

From / / To / /

* Default Option may be applied in case of no information, ambiguity or discrepancy.

Having read and understood the contents of the Scheme Information Document and

Statement of Additional Information, Key Information Memorandum and Instructions
 overlaid and Addenda issued from time to time of the respective Scheme[s], I / We, heretofore
 apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme[s] and
 agree to abide by the terms and conditions, rules and regulations of the Scheme. We
 have neither received nor been induced by any rebate or gifts, directly or indirectly
 in making this investment. I / We declare that the amount invested in the Scheme is the

legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted

by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/her/it for the different transactions. I/We have not received any commission from the ARN holder for the different transactions.

the Scheme is being recommended to me/us. **Applicable to NRIs only :** I/We confirm that am/We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that th

funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

(To be signed as per Mode of Holding)

■ **KYC COMPLIANCE:** Investors shall note that KYC is mandatory and they need to comply with the 'Know Your Client' requirements, by submitting requisite documents to CDSL Ventures Limited. For more information on KYC, please log on to www.dsblackrock.com/www.cdindia.com/www.amplindia.com before investment. Applications are liable to be rejected without any

■ **REDEMPTION REQUEST:** Redemption may not be processed if folio number and full scheme name including plan and option is not mentioned. Please ensure that either of amount or units is mentioned in the redemption request. **The fund offers a facility to register multiple**

bank accounts and designate one of the bank account as "Default Bank Account". Default Bank Account will be used for all dividends and redemptions payouts including FMP schemes maturity proceeds unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds. A new non-registered bank account

specified in the specific redemption request for receiving redemption proceeds will not be considered. **Consequent to introduction of "Multiple Bank Accounts Facility", the existing facility of redemption with change of bank mandate is discontinued by the fund. New bank accounts can only be registered using the designated "Bank Account Registration Form".**

■ **BANK ACCOUNT FOR REDEMPTION PROCEEDS:** Please note the following important points related to payment of redemption proceeds: • Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing. • Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds.

- If unit holder(s) provide a new and unregistered bank mandate with a specific redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds.

■ **POA REGISTRATION:** Only a general Power of Attorney agreement without any restrictions and perennal validity is accepted. The PoA must be executed on stamp paper and registered in India and a duly notarized copy should be enclosed. The PoA must have signatures of the investor as well the PoA holder. If the signature of PoA holder is not available, the fund may

■ **SYSTEMATIC INVESTMENT PLAN (SIP):** Minimum Investment Amount for each SIP instalment is Rs.500/-. SIP Facility is available only on specific dates of the month viz. 1st

7th / 14th / 21st/28th. All Cheques should be of the same date of month / quarter and of the same amount. The Cheque should be drawn in favour of "Name of the Scheme and Plan" as applicable. A separate SIP Form must be filled for each Scheme / Plan. Please mention your folio number and name on reverse of the Cheque. Minimum installments

■ **SYSTEMATIC TRANSFER PLAN (STP) / SYSTEMATIC WITHDRAWAL PLAN (SWP):** Please allow upto 7 days for STP / SWP to be registered and first STP / SWP transaction to happen. Hence form should be submitted atleast 7 days before STP / SWP start date. STP / SWP is available in all the schemes of the Fund. Please note the details on the link below:

there is no appreciation or is less than Rs.500/-, switch/withdrawal as mentioned above, will not be carried out. You can choose to discontinue this facility by giving 30 days written

■ **DIVIDEND TRANSFER PLAN (DTP):** Please allow up to 7 days for DTP to be registered. Hence, form should be submitted at least 7 days before the record date of any forthcoming proposed dividend. Please refer to www.dsblackrock.com > Services > Dividend Transfer Plan for list

of Source Scheme, Target Schemes and detailed terms and conditions.