

# Transaction Form For STP & SWP



Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Time Stamp

| Distributor/RIA Code | Sub-Distributor ARN | Sub-Distributor Code | EUIN    | Branch Code |
|----------------------|---------------------|----------------------|---------|-------------|
| ARN-87761            |                     |                      | E075033 |             |

Initial Commission will be paid by the investor directly to the distributor, based on assessment of various factors including the service rendered by the Distributor.

Transaction Charges: SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs.10,000/-. If this is the first time, you are investing in any mutual fund, please tick here ☐

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction.

☐ Sole/1st Applicant

☐ 2nd Applicant

☐ 3rd Applicant

## 1. APPLICANT INFORMATION

Name of Sole/1st Unit Holder  First Name  Middle Name  Last Name  Folio No.

PAN/PEKRN\*\*  First Unit Holder  Second Unit Holder  Third Unit Holder

Aadhaar No.  First Unit Holder  Second Unit Holder  Third Unit Holder

KIN\*  First Unit Holder  Second Unit Holder  Third Unit Holder

Date of Birth\* (1st Unit Holder)  Date of Birth\* (2nd Unit Holder)  Date of Birth\* (3rd Unit Holder)

Mobile No. +91-  E-mail ID

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year.  
^ 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

## 2. SYSTEMATIC WITHDRAWAL PLAN (SWP) - Please note that the value of the unit balance in the source scheme should be at least Rs. 25,000

Scheme Name L&T  Option (✓) ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus^

Dividend Frequency (✓wherever applicable) ☐ Daily ☐ Weekly ☐ Monthly\* ☐ Quarterly ☐ Annual^ ☐ Semi-Annual^

Withdrawal preference (✓) ☐ Amount (₹)  OR ☐ Capital Appreciation (Available for GROWTH plan only)

Withdrawal frequency (✓) ☐ Monthly\* ☐ Quarterly ☐ Semi-Annual ☐ Annual

Withdrawal date (✓) ☐ 1st ☐ 5th ☐ 10th\* ☐ 15th ☐ 20th ☐ 25th ☐ 28th Withdrawal period From  To  OR ☐ Till balance

^Available in select schemes only

## 3. SYSTEMATIC TRANSFER PLAN (STP) - Please note that the value of the unit balance in the source scheme should be at least Rs. 25,000

Scheme Name L&T  Option (✓) ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus^

Dividend Frequency (✓wherever applicable) ☐ Daily ☐ Weekly ☐ Monthly\* ☐ Quarterly ☐ Annual^ ☐ Semi-Annual^

To Scheme L&T  Option (✓) ☐ Growth ☐ Dividend Payout ☐ Dividend Reinvestment ☐ Bonus^

Dividend Frequency (✓wherever applicable) ☐ Daily ☐ Weekly ☐ Monthly\* ☐ Quarterly ☐ Annual^ ☐ Semi-Annual^

Transfer preference (✓) ☐ Amount (₹)  OR ☐ Capital Appreciation (Available for GROWTH plan only) From  To  OR ☐ Till balance

Transfer frequency ☐ Daily  
(Please (✓) anyone) ☐ Weekly ☐ Mon\* ☐ Tue ☐ Wed ☐ Thu ☐ Fri  
☐ Fortnightly ☐ 1st ☐ 15th\*  
☐ Monthly\* ☐ 1st ☐ 5th ☐ 10th\* ☐ 15th ☐ 20th ☐ 25th ☐ 28th  
☐ Quarterly ☐ 1st ☐ 5th ☐ 10th\* ☐ 15th ☐ 20th ☐ 25th ☐ 28th

\*Default option if not selected ^Available in select schemes only

## 4. DECLARATION & SIGNATURES (To be signed as per Mode of Holding)

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this transaction. I/We understand that the upfront commission will be paid directly by me/us to the AMFI registered distributors based on my/our assessment of various factors including the service rendered by the distributor. Also, the AMFI registered distributor has disclosed the commissions to me/us (in trail commission or any other), payable to him for different schemes of mutual funds from amongst which the scheme is being recommended to me/us.

**Applicable for AADHAAR SUBMISSION :** I/We hereby give you my/our consent to validate Aadhaar with UIDAI and for updating/linking my Aadhaar number based on the PAN given in all my accounts maintained with CAMS serviced Mutual Funds for KYC & other related due diligence purpose in line with PMLA requirements and Account enrichment purpose.

(Sole/First Unit Holder)

(Second Unit Holder)

(Third Unit Holder)

## ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

Folio No.  Received from  Name of the Sole/First Unit Holder

Scheme/Plan/Option

☐ SWP Instalment amount  Frequency(✓) ☐ Monthly ☐ Quarterly ☐ Semi Annual ☐ Annual  
☐ STP Instalment amount  Frequency(✓) ☐ Daily ☐ Weekly ☐ Fortnightly ☐ Monthly ☐ Quarterly



For Office Use Only

Acknowledgement  
Stamp & Date